Annex US.II, page I
533 Rec'd PCT/PTO 08 AUG 2000

		ATTORNEY'S DOCKET NUMBER					
(MEA 11-61)	TMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	S004-4054 (PCT)					
TRANSMITTAL LETTER	TO THE UNITED STATES						
DESIGNATED/ELECT	ED OFFICE (DO/EO/US)	U.S. APPLICATION NO. (If known, see 37 CFR 1.5)					
CONCERNING A FILIN	NG UNDER 35 U.S.C. 371	09/601813					
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED					
PCT/JP99/00572	10 February 1999(10.02.99)	10 February 1998(10.02.98					
THE P OF DIVENTION	NFORMATION RECORDING A	PPARATUS					
APPLICANT(S) FOR DO/EO/US							
14	orio CHIBA et al.	information:					
Applicant herewith submits to the United States	s Designated/Elected Office (DO/EO/US) the follow	wing items and other information.					
1. X This is a FIRST submission of items	s concerning a filing under 35 U.S.C. 371.	16 H S C 371					
	NT submission of items concerning a filing under	v time rather than delay					
This express request to begin nation examination until the expiration of t	al examination procedures (35 U.S.C. 371(f)) at an the applicable time limit set in 35 U.S.C. 371(b) and	1 PCT Articles 22 and 39(1).					
4. X A proper Demand for International	Preliminary Examination was made by the 13th tho	nth from the earliest claimed priority date.					
5. X A copy of the International App	lication as filed (35 U.S.C. 371(c)(2))	( )					
a. is transmitted herewith	(required only if not transmitted by the Intern	ational Bureau).					
b. X has been transmitted by	the International Bureau.  pplication was filed in the United States Recei	iving Office (RO/US).					
c. is not required, as the a	t Application into English (35 U.S.C. 371(c)(2	2)).					
6. X A translation of the International Application into English (35 U.S.C. 371(c)(2)).							
7. Amendments to the claims of the	7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))						
	a. are transmitted herewith (required only if not transmitted by the International Bureau).						
c. have not been made; he	<ul> <li>b. have been transmitted by the International Bureau.</li> <li>c. have not been made; however, the time limit for making such amendments has NOT expired.</li> </ul>						
- I was been made on		`&					
d. I have not been made an	s to the claims under PCT Article 19 (35 U.S.C	C. 371(c)(3)).					
8. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).  9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).							
10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).							
Items 11. to 16. below concern docume	ent(s) or information included:						
	ement under 37 CFR 1.97 and 1.98.	·					
	cording. A separate cover sheet in compliance	e with 37 CFR 3.28 and 3.31 is included.					
I —							
13. A FIRST preliminary amendme							
A SECOND or SUBSEQUENT	preliminary amendment.	:					
14. A substitute specification.							
15. A change of power of attorney	and/or address letter.						
		•					
16. X Other items or information:							
Form PCT/RO/101;							
Form PCT/1B/301; Form PCT/1B/308;							
Patent Cover Pag	e;						
Form PCT/ISA/210		·					
Form PCT/1B/332							
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U S APPLICATION NO. GL	noyo, soc 17 CER 12	TNI	ERNATIONAL APPLICATION NO.			ATTORNEY'S DOCKE	
09/6	01815	F	CT/JP99/00572		<del></del>		54 (PCT)
17. X The foll	owing fees are sub	nitted:				LCULATIONS	PTO USE ONLY
BASIC NATION	AL FEE (37 CFR	.492 (a)	(1) - (5) ) :				
nor internation	ial search fee (37 C	FR 1.444	tion fee (37 CFR 1.482) 5(a)(2)) paid to USPTO red by the EPO or JPO	\$970.00			
International p	oreliminary examinatemational Search	ition fee Report p	(37 CFR 1.482) not paid to repared by the EPO or JPO	\$840.00			
International p	oreliminary examinal search fee (37 C	ation fee FR 1.44:	(37 CFR 1.482) not paid to US 5(a)(2)) paid to USPTO	PTO <b>\$760.00</b>			
but all claims	did not satisfy prov	isions of	paid to USPTO (37 CFR 1.482 PCT Article 33(1)-(4)	\$670.00			
International	oreliminary examin	ation fee	paid to USPTO (37 CFR 1.482 Article 33(1)-(4)	S96.00	1		
and all claims			PRIATE BASIC FEE AM		5	0.10.00	
					ļ_	840.00	
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CLAIMS	NUMBER FIL		NUMBER EXTRA	RATE	<u> </u>		
Total claims	16	20 =	0	X \$18.00	\ <u>\$</u>		
Independent claims	4	-3 =	1	X \$78.00 + \$260.00	\$	78.00	
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Reduction of 1/2		ntity, if	applicable. A Small Entity State		s	1	
must also by filed	(Note 37 CFR 1.9,	1.27, 1.		SOTAY -	\$	1170 00	
				TOTAL =		1178.00	
Processing fee of months from the	\$130.00 for furnish earliest claimed pri	ing the lority date	English translation later than e (37 CFR 1.492(f)).	20 30 +	S		
			TOTAL NATION		\$	1178.00	
Fee for recording accompanied by	the enclosed assign an appropriate cove	ment (3 r sheet (	7 CFR 1.21(h)). The assignment 37 CFR 3.28, 3.31). \$40.00 per	nt must be property +	s		
TOTAL FEES ENCLOSED =			S	1178.00			
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	charge my Deposit icate copy of this sh			amount of \$	<del></del>	to cov	er the above fees.
ٔ ا	• •		rized to charge any additional fo	ees which may b	e rea	uired or credit a	nv
c. X The Co	yment to Deposit A	count N	lo. <u>01-0268</u> . A duplica	te copy of this sl	heet i	s enclosed.	,
	•						
NOTE: When	e an appropriate t	ime limi I grante	it under 37 CFR 1.494 or 1.49 d to restore the application to	5 has not been a pending status	met	a petition to rev	ive (37 CFR
1.157(2) 57 (5)	,,		<b></b> - <b>, ,</b>	. ~	_	- 1   1.	_
SENT) ALL CORRE	ESPONDENCE TO:			· ·	VIV		an /
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Annex US.II, page 2

PCT Applicant's Guide - Volume II - National Chapter - US

UZ APPLICATION NO (II	known, see 37 CFR 1.3)	INTI	ERNATIONAL APPLICATION NO.			SOO4-40	
09/	<u>60181</u>	<u>5</u> 1-	CT/JP99/00572	r	CA	LCULATIONS	
17. X The follows	lowing fees are s	ubmitted:		ļ.		LCODATIONS	1100320
BASIC NATION	AL FEE (37 CFI	R 1.492 (n) (	(1) - (5) ) :				
Neither intern	ational prelimina	ry examinat	ion fee (37 CFR 1.482)				
and Internatio	nal Search Repor	t not prepar	(a)(2)) paid to USPTO ed by the EPO or JPO	\$970.00			
USPTO but I	nternational Searc	ch Report pr	(37 CFR 1.482) not paid to epared by the EPO or JPO.				
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO							
but all claims	did not satisfy pr	ovisions of	paid to USPTO (37 CFR 1.48 PCT Article 33(1)-(4)	\$670.00			
International and all claims	s satisfied provision	ons of PCT	paid to USPTO (37 CFR 1.4 Article 33(1)-(4)	\$96.00			
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Surcharge of \$13 months from the	0.00 for furnishin earliest claimed p	ig the oath oriority date	or declaration later than (37 CFR 1.492(e)).	20 30	S	•	
CLAIMS	NUMBER F	ILED	NUMBER EXTRA	RATE			
Total claims	16	- 20 =	0	X \$18.00	\$		
Independent claims	4	- 3 =	1	X \$78.00	S	78.00	
	ENDENT CLAIM	S) (if applica		+ \$260.00	\$	260.00	
			OF ABOVE CALCULA	ATIONS =	S	1178.00	
Reduction of 1/2	for filing by sma d (Note 37 CFR 1	Il entity, if a	applicable. A Small Entity St 28).	atement	S	ı	
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Fee for recording accompanied by	the enclosed ass an appropriate co	ignment (37 ver sheet (3	7 CFR 1.21(h)). The assignm 17 CFR 3.28, 3.31). \$40.00 p	nent must be per property +	S		
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a. X A chec	k in the amount o	of \$117	to cover the ab	ove fees is enclose	d.		
	b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.						
· ·	• •			t C which may be		····d or credit a	n.,
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 01-0268. A duplicate copy of this sheet is enclosed.							
NOTE: Whe 1.137(a) or (b	re an approprint )) must be filed a	e time limit and granted	t under 37 CFR 1.494 or 1. I to restore the application	495 has not been n to pending status.	nel.	a petition to rev	ive (37 CFR
SEND ALL CORR	ESPONDENCE TO:			λÌ	ZI K	3/ 12	Um 4
Bruce	Bruce L. Adams, Esq. SIGNARIRE:					7.1.7	
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	New York, NY 10004						
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Re: New patent application of Norio CHIBA et al.

for INFORMATION RECORDING APPARATUS

comprising transmittal letter, title, specification (pgs. 1-38), 16 claims (pgs.36-38), abstract (pg.39), five sheets of formal drawings containing thereon Figs. 1-13, Forms PCT/RO/101, PCT/1B/301, PCT/1B/308; PCT/1B/332 and PCT/ISA/210, patent cover page, express mail certification and check in the amount \$1178.00

Attorney's Docket No: S004-4054 (PCT)

## EXPRESS MAIL CERTIFICATION UNDER 37 CFR §1.10

Express Mail Tracking Number: EH 332 181 015 US

Date of Deposit: AUGUST 8, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to Box PCT, ASSISTANT COMMISSIONER OF PATENTS, Washington, DC 20231.

Donna Biccardulli